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1 **A novel scale of behavioural indicators of stress for use with domestic**
2 **horses.**

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12
13 **Abstract**

14 Behaviour scores (BS) offer non-invasive, objective and easy to use ways of
15 assessing welfare in animals. Their development has; however, largely focused on
16 behavioural reactions to stressful events (often induced), and little use of
17 physiological measures has been made to underpin and validate the behavioural
18 measures. This study aimed to develop a physiologically validated scale of
19 behavioural indicators of stress for the purpose of welfare assessment in stabled
20 domestic horses. To achieve this, behavioural and physiological data were collected
21 from 32 horses that underwent routine husbandry procedures. Principal component
22 analysis (PCA) of the behavioural and physiological data revealed three meaningful
23 components that were used as the basis of the scale. Analysis of video clips of the
24 horses' responses to the husbandry procedures was undertaken by a panel of
25 equestrian industry professionals using a free choice profiling (FCP) methodology.
26 These results were added to the scale along with key definitions from relevant
27 literature. Salivary cortisol levels were significantly correlated with the BS confirming

28 the scale was meaningful and reflected physiological stress. The scale offers an
29 easy to use 'tool' for rapid, reliable non-invasive welfare assessment in horses, and
30 reduces the need for potentially invasive physiological measures.

31

32 **Key words**

33 Horse; behaviour scores; cortisol; saliva; welfare assessment; non-invasive.

34

35 **1.0 Introduction**

36 Use of behaviour scores (BS) offers objective, immediate methods of welfare
37 assessment in animals (Minka et al., 2009). They have been used, with varying
38 degrees of success, to measure stress levels for the purpose of welfare assessment
39 in various species (horses: Visser et al., 2010; Munsters et al., 2011; cats: McCune,
40 1994; Kessler and Turner, 1997; McCobb et al., 2005; Dybdall et al., 2007; goats:
41 Minka et al., 2009; cattle: Maria et al., 2004b; ostriches: Minka and Ayo, 2008;
42 chickens: Maria et al., 2004a). These scores have, however, been largely developed
43 by focusing on expression of behaviours assumed to indicate stress rather than
44 making use of established physiological indicators of stress to underpin them. In
45 addition, BS are often used rather simply, ignoring all but 'negative' behaviours (e.g.
46 Minka et al., 2009) and therefore losing potentially valuable information. Of the small
47 number of behaviour scoring approaches to welfare assessment available, only the
48 Cat Stress Score (McCune, 1994; Kessler and Turner, 1997) provides a scale of
49 stress responses that can be used flexibly to assess welfare in different
50 environments. This scale has not been physiologically validated, however.

51

52 Assessment of a stress response is clearly best carried out using a combination of
53 both behavioural and physiological measures (Broom, 1991; Mason and Mendl,
54 1993; Dawkins, 2003). This provides a more comprehensive measurement of stress,
55 and avoids drawing misleading conclusions that could be reached by taking just a

56 single measure (Broom, 1991; Mason and Mendl, 1993; Dawkins, 2003). Previous
57 success at correlating behavioural measures with physiological measures has,
58 however, been mixed. Minka et al. (2009) established that certain behaviours and
59 physiological indices of stress were related during the handling and loading of goats
60 for transportation, but McCobb et al. (2005) were not able to correlate BS with urinary
61 cortisol measures in cats. Clearly the behavioural and physiological measures must
62 both be sufficiently sensitive and reliable to successfully correlate them for use in a
63 behavioural scale for welfare assessment.

64

65 The only scale of BS available for use with domestic horses was developed to
66 assess, specifically, whether horse and rider combinations were appropriate
67 (Munsters et al., 2011). The scale was adapted from a scoring system used by
68 Visser et al. (2010) to assess the temperaments of sports horses exposed to novel
69 objects, not to evaluate stress responses. Some level of experience/expertise was
70 needed to accurately assess temperament in this latter study. Physiological
71 measures were not used to develop the score, although heart rate variability (HRV)
72 was used when the score was tested.

73

74 The aim of the current study was, therefore, to develop an easy to use scale of BS
75 that could be used to rapidly but reliably assess stress levels in domestic stabled
76 horses. The scale was developed using both behavioural and physiological
77 measures obtained from a wide range of horses (N=32) during naturally occurring
78 daily routine husbandry procedures. Use of such husbandry procedures, that would
79 have taken place irrespective of the study, was considered an ethical approach to
80 data collection rather than artificially exposing horses to potentially stressful
81 situations

82

83 Since assessment of welfare is most effective using multiple measures, analysis of
84 the behavioural responses to the routine husbandry procedures used two different
85 approaches. Behavioural responses were quantified in detail using The Observer
86 (Noldus Information Technology Software Ltd) for 12 of the horses. Both quantitative
87 and qualitative assessment of the behaviour of all study horses was made, by expert
88 panel members, using the experimental free choice profiling (FCP) approach
89 (Wemelsfelder et al., 2000; 2001).

90

91 We again used two measures for the physiological data collection, heart rate (HR)
92 and salivary cortisol, to validate the BS scale. Both cortisol (Ralston et al., 1988;
93 Toutain et al., 1995; McBride and Cuddeford, 2001; Covalesky et al., 1992;
94 Stegaman and Jones, 1998) and HR (Reitmann et al., 2004; von Borell et al., 2007;
95 Visser et al., 2008) are established indicators of stress in horses, and detect different
96 aspects of the stress response. Both indices of stress were used here as their
97 measurement can be achieved by non-invasive means, thus avoiding any further
98 stress to the study horses.

99

100 **2.0 Method**

101 **2.1 Subjects used for the study**

102 The study aimed to ensure a wide range of horses was used to build the BS scale.

103 The horses used in the study consisted of various breeds of stabled mares and
104 geldings kept in similar management and exercise regimes, at four different locations
105 (Table 1). All horses were housed in individual stables on either straw or shavings
106 bedding, and received hay or haylage and water with up to two hard feeds i.e. mix or
107 pellets, at around 07:00 h and 16:00 h. All horses were in light to medium work
108 (receiving around two hours of exercise daily) throughout the study. When they were
109 not being exercised all horses received up to four hours turn out to pasture daily, and

110 remained in their usual daily management routine apart from undergoing routine
111 husbandry procedures.

112

113 A total of 32 horses were used in this study and assigned to experimental groups on
114 the basis of their availability (see Table 1 for details of location, age, gender and
115 husbandry procedure). In summary, analysis of behaviour was completed from all 32
116 horses; nineteen of the horses were used for saliva collection for cortisol
117 measurement, with a further 10 horses from location one used as a control group for
118 this part of the study; eighteen horses were used for measurement of HR, with a
119 further 10 horses from location one again used as a separate control group for this
120 aspect of the study.

121

122 **2.2 Husbandry procedures used for data collection**

123 Horses were subjected individually to one of four different 10 minute husbandry
124 procedures. They remained loose in their stables, except during grooming when they
125 were loosely held by a familiar handler and had access to hay and water. A 10
126 minute period was deemed adequate to induce and measure a potential stress
127 response, but not so long that habituation to the stressor should occur (Visser et al.,
128 2001).

129

130 **Procedure 1 - sound of electric coat clippers**

131 Horses (from location 1 only, for logistical reasons) were exposed to the sound of
132 electric coat clippers (Heiniger Handy Clipper, Switzerland) turned on to maximum
133 clipping velocity. Typical sound emissions from such clippers were 80.1 decibels.
134 Clippers were switched on and held by hand in an adjacent stable 3.66 metres away
135 from the study horse.

136

137 **Procedure 2 - social isolation**

138 Horses (from locations 1, 2, 3) were caught from the field and returned to their usual
139 stable. This process took no longer than five minutes, and horses showed no
140 resistance to capture. The horses were stabled in the absence of any other horses
141 on the yard for 10 minutes. At the end of the social isolation period the horse's usual
142 neighbouring horse was returned to the adjacent stable.

143

144 **Procedure 3 - grooming procedures**

145 A head-collar and lead rope was fitted to the horse, and a familiar handler held the
146 lead rope approximately half way along its length to restrain the horse loosely. Mane
147 combing and mane pulling (a procedure used to thin and shorten the mane by taking
148 small sections of hair back combing them and pulling out the remaining long hairs)
149 then took place. Animals were used from location 1.

150

151 **Procedure 4 - the sound of fireworks played on a CD**

152 Police horses (from location 4 only) were used for this procedure as it involved the
153 sound of fireworks played on a compact disc (CD), which was used as part of riot
154 training with Police horses. The CD player was situated on a table outside the
155 horse's stable 3.66 metres away.

156

157 The husbandry procedures were carried out over a number of weeks as the
158 opportunities to collect data arose. Where the same procedures were carried out
159 with a number of horses on the same yard e.g. exposure to the sound of electric coat
160 clippers, one week was left between tests to minimise the effects of habituation on
161 the horses that had not yet been sampled from. The control horses were chosen
162 randomly from location one, as these had not been subjected to the husbandry
163 procedures examined in the study for a minimum of eight weeks. This opportunistic
164 data collection strategy meant that some procedures/control were tested in a single

165 location, others across more than one. Location effects were tested for in the
166 analysis to check that this had no confounding impact.

167

168 **2.3 Behavioural measurement during the husbandry procedures**

169 The behaviour of the subjects was recorded during all husbandry procedures using a
170 Sanyo CCD/BW video camera (Sanyo Electric Co., Ltd, Osaka, Japan) secured at
171 ceiling height in an appropriate position opposite the stable to gain an adequate field
172 of view. The video camera was linked to a Mitsubishi HS-1024E time-lapse recorder
173 (Osaka, Japan), set to three hour real time for recording of images onto three hour
174 video tapes (BASF Vision Chrome Videocassette, BASF plc, Middlesex, U.K.).

175

176 **2.3.1 Analysis of behaviour using The Observer**

177 The first five minutes of the behavioural reactions exhibited during the husbandry
178 procedures, for 12 of the horses, was analysed using The Observer 5.0. Behaviours
179 were recorded using a pre-defined ethogram based on equine stable behaviour
180 (Table 2). The ethogram had been compiled from six weeks of ad-hoc observation of
181 race-horses and stabled riding horses, together with literature research (see Houpt,
182 1993; Winskill et al., 1996; McBride and Cuddeford, 2001; Strand et al., 2002;
183 Heleski et al., 2002; Seaman et al., 2002; McDonnell, 2003)

184

185 **2.3.2 Analysis of behaviour carried out by a panel of equestrian professionals**

186 A panel of 13 professionals who had a working background with horses, and held a
187 minimum of the British Horse Society (BHS) stage one qualification was convened.
188 They were briefed on the nature of the study and asked to view a video of the initial
189 two minutes of each horse's behavioural reaction to the husbandry procedures, and
190 to provide a BS between zero and ten according to how stressed they perceived the
191 horse to be. They were told that ten equated to an extremely stressed horse. The
192 panel also had to describe, using their own descriptive terms, the horse's behaviour

193 exhibited during the video. They, finally, were asked to state at which point on their
194 subjective scale that they believed the onset of stress occurred in the horses
195 undergoing the husbandry procedures.

196

197 **2.4 Measurement of salivary cortisol concentrations during the husbandry** 198 **procedures**

199 Saliva was collected 60 minutes and 30 minutes prior to the start of the husbandry
200 procedures, and then at the end of the 10 minute procedure and at 10 minute
201 intervals up to 40 minutes. Forty minutes was chosen to provide enough time for
202 peak cortisol to be reached following the onset of the potential stressor (the
203 husbandry procedure). Plasma cortisol peaks in horses 30 minutes post exercise
204 stress (Foreman and Ferlazzo, 1996; Marc et al., 2000; Hamlin et al., 2000), and 20
205 minutes following restraint stress (Hydbring et al., 1996).

206

207 Saliva was collected from the control group of horses to ensure that the swabbing
208 procedure did not affect their stress levels. Collection took place at 60 minutes, 30
209 minutes, and 0 minutes before the husbandry procedure would have begun, and then
210 at the same time intervals that the experimental group of horses had their saliva
211 collected, except for the extra swab was taken at 0 minutes from control horses to
212 provide a robust control measure.

213

214 Saliva was collected using sterilised flexi-swabs (Medical Wire & Equipment Co
215 (Bath) Ltd) that were introduced into the corner of the horses' mouths first on the
216 horse's left and then on the horse's right. The horses were allowed to manipulate the
217 swabs using their tongues for approximately 20 seconds per introduction of the swab.
218 The swabs were then placed into sterile 20ml plastic screw top containers, labelled
219 and stored on ice until frozen at -20 °C the same day to await cortisol extraction.

220

221 Saliva was extracted from the thawed cotton wool swabs by centrifugation using a
222 Sorvall T.C. centrifuge (Thermo Scientific, Basingstoke, Hampshire, UK) for two
223 minutes at 800g. The supernatant was then centrifuged using a Hettick Mikro 20
224 centrifuge (Tuttilgen, Germany) at 15,000g for two minutes. The supernatant was
225 taken off using a pipette and frozen to await analysis. Salivary cortisol
226 concentrations were quantified using a modified version of an EIA described by
227 Smith and French (1997).

228

229 **2.5 Measurement of heart rate (HR) during the husbandry procedures**

230 HR was recorded from the experimental and control groups of horses at 60-second
231 intervals for two minutes prior to the start of the husbandry procedure to provide a
232 mean baseline HR. Recording of HR then continued at 60-second intervals for the
233 first five minutes of the husbandry procedure for the experimental group of horses,
234 and over the same time intervals, in the absence of a husbandry procedure, for the
235 control group.

236

237 HR was recorded using a Polar HR monitor (S610i) (Polar Electro, Öy, Kempele,
238 Finland). The HR monitor consisted of an electrode belt that picked up the electrical
239 activity of the horse's heart, with a transmitter attached enabling wireless
240 transmission of the HR to a wrist watch receiver. The belt was fitted around the
241 horse's thorax with both electrodes sited to the left-hand side of the horse. One
242 electrode was placed approximately 10cm below the withers, and the other about
243 10cm behind the elbow over the heart. Warm water and electrode gel (The Wyke of
244 Shifnal, Shropshire) was used to optimise contact between the horse's skin and the
245 electrodes. The wrist watch receiver was taped to a leather strap fastened around
246 the horse's neck. All horses were given 10 minutes to habituate to the equipment
247 (Reitmann et al., 2004).

248

249 **2.6 Statistical analysis**

250 Principal component analysis (PCA) was used to investigate whether there were any
251 relationships between behavioural and physiological changes that took place during
252 the husbandry procedures. 'Data reduction' was necessary to look for smaller sets of
253 factors or components in the data (Pallant, 2004; Ennos, 2007) from which the scale
254 of BS could be compiled. The percentage change in cortisol concentration from the
255 median baseline value to the peak concentration was calculated for each horse. This
256 percentage, together with the percentage duration of all behaviours included in the
257 ethogram underwent PCA.

258

259 PCA of the cortisol and behavioural data exhibited during the husbandry procedures
260 revealed correlation coefficients of 0.3 and above (following Pallant, 2004). An
261 oblimin rotation of three factor solution was used to reduce the number of variables
262 into meaningful components (Pallant, 2004). Each behaviour and change in cortisol
263 concentration received a score for each component denoting whether the behaviour
264 was performed or not, or whether change in cortisol was relevant. A median BS was
265 calculated for each horse used in the study, as scored by members of the
266 professional panel. The terms used by the panel to describe each horse's behaviour
267 was pooled for horses with the same BS. Panel descriptions of behaviour were
268 added to the relevant sets of factors or components revealed by the PCA, and the
269 scale of BS for use with stabled domestic horses subsequently devised.

270

271 All HR and salivary cortisol data measured during the husbandry procedures and
272 controls were log transformed to reduce heterogeneity of variance. The impact of
273 location on each physiological measure was investigated to assess potential
274 confounds caused by using subjects at different locations. To do this, levels of
275 baseline cortisol and HR data were compared across the two locations in the case of

276 HR data using an independent samples T test, and across the four locations in the
277 case of salivary cortisol data using a one-way between subjects ANOVA.

278

279 Any impact of the Polar heart monitor over time was explored during a control study
280 by analysing HR at time zero and 60, 120, 180, 240 and 300 seconds as compared
281 to the experimental timings, under control conditions. The impact of collecting saliva
282 swabs on the HPA response was examined during a control study which assessed
283 cortisol under control conditions at time 0, then 10, 20, 30 and 40 minutes later using
284 a repeated subjects ANOVA.

285

286 Changes in HR data and levels of salivary cortisol were explored using General
287 Linear Models (GLM) with sex, husbandry procedure and time of collection (i.e.
288 before and after the procedure in terms of the HR data, and peak compared to
289 baseline cortisol titres for the salivary cortisol data) as fixed factors. Post hoc
290 analyses were conducted using Tukey test and alpha was set at 0.05.

291

292 To investigate whether the devised BS scale reflected physiological stress, median
293 BS as calculated from the professional panel, and peak salivary cortisol following the
294 husbandry procedures were investigated using Spearman's Rank Order Correlation.

295

296 **3.0 Results**

297 **3.1 Behavioural data**

298 PCA of the percentage change in salivary cortisol from baseline to peak, and
299 percentage duration of state behaviour exhibited during the husbandry procedures
300 identified three components in the pattern matrix. They were labelled no stress
301 (factor 1), low stress (factor 3) and medium stress (factor 2) according to the type of
302 behaviour and change in cortisol identified (Table 3).

303

304 Median behaviour scores were calculated for the study horses, and ranged between
305 one and eight. The terms used by the panel to describe each horse's behaviour was
306 pooled for horses with the same BS, and panel descriptions of behaviour were added
307 to the three components revealed by the PCA.

308

309 Descriptions used for horses with a BS of one and two were added to the component
310 labelled no stress, as the mean score representing the onset of stress as judged by
311 the panel was three. Descriptions used for horses with a BS of three to seven were
312 added to low and medium stress. The BS of five was used as the onset of medium
313 stress, based on the type of behaviour included in the component extracted by the
314 PCA. Descriptions used for horses with a BS of eight to ten formed a new category
315 labelled high stress, because the PCA analysis did not include horses with a BS of
316 this level. Relevant literature was also used to form this category. The scale of
317 behavioural indicators of stress for use with stabled domestic horses was
318 subsequently compiled (Table 4).

319

320 **3.2 Physiological data**

321 Both measures of HR and salivary cortisol were used to underpin the behavioural
322 measures in the development of the scale of behavioural scores.

323

324 **3.2.1 HR data**

325 Baseline HR values were comparable across the two locations ($t = -0.660$, $df = 16$,
326 NS) thus ruling out location as a confound in the study. There were, also, no
327 significant changes in HR between baseline (mean HR 37.20 ± 8.34 bpm) and the
328 'test' period (mean HR 38.98 ± 15.65 bpm) in the control study suggesting that the
329 presence of the Polar heart rate monitor over a period of time did not cause the
330 horses any stress ($t = -0.381$, $df=9$, NS).

331

332 HR values were explored using a general linear model with sex, husbandry
333 procedure and time of collection (i.e. before versus after the procedure) as the fixed
334 factors. HR values were significantly raised following the husbandry procedures
335 compared to baseline values (Figure 1) [$F(1, 26) = 10.083, P < 0.0001$].

336

337 There was no effect of sex on HR values [$F(1, 26) = 0.261, NS$], but there was a
338 significant interaction between sex and husbandry procedure [$F(1, 26) = 4.315, P <$
339 0.05]. Further analysis using a t test showed that HR values before and after
340 combined, for the clippers, were significantly higher for mares than the same values
341 for geldings ($t = -3.403, df = 18, P < 0.003$). In contrast for grooming, before and after
342 HR values (combined) were similar for both mares and geldings ($t = 1.294, df = 6,$
343 NS). Only geldings were exposed to the fireworks.

344

345 There was no effect of husbandry procedure alone on HR values [$F(2, 26) = 2.444,$
346 NS], or interaction between husbandry procedure and sampling time [$F(2, 26) =$
347 $0.621, NS$], sex and sampling time [$F(2, 26) = 0.169, NS$], or between the three
348 variables [$F(1, 26) = 0.820, NS$].

349

350 **3.2.2 Salivary cortisol data**

351 Baseline salivary cortisol titres levels were comparable across subjects in the four
352 locations confirming that location was not a confound in our study [$F(3, 18) = 1.824,$
353 NS].

354

355 Changes in physiological data collected from control horses were explored to ensure
356 that the methods of data collection did not affect the horses. There were no changes
357 in levels of salivary cortisol across the control study (mean \pm s.e.m.) baseline $0.42 \pm$
358 0.12 ng/ml; at 10 minutes 0.43 ± 0.2 ; at 20 minutes 0.35 ± 0.17 ; at 30 minutes $0.39 \pm$

359 0.15; at 40 minutes 0.49 ± 0.25) suggesting that the saliva swabbing was not
360 stressful to our subjects [$F(4, 32) = 0.821$, NS].

361

362 There was a significant effect of husbandry procedure on levels of salivary cortisol [F
363 $(3, 22) = 5.644$, $P < 0.005$]. Post hoc analysis revealed that levels of the hormone
364 (baseline and peak concentrations combined) pertaining to exposure to the fireworks
365 were significantly higher than those related to clipping ($P < 0.01$) and social isolation
366 ($P < 0.01$), but not grooming (NS). Hormone values relating to grooming were higher
367 than those relating to clipping ($P < 0.05$) and social isolation ($P < 0.01$).

368

369 There was also a significant effect of time (i.e. baseline cortisol versus peak
370 concentration of cortisol) since hormone levels were significantly elevated following
371 the husbandry procedures compared to prior to the procedures (Figure 2) [$F(1, 22) =$
372 6.077 , $P < 0.05$]. There was, however, no interaction between husbandry procedure
373 and sample time showing that despite gross differences in hormone values across
374 the different procedures, the manner and magnitude of the change in cortisol levels
375 pre and post treatment were comparable across the four husbandry procedures [$F(3,$
376 $22) = 0.827$, NS]. This was confirmed by two separate one-way ANOVAs which
377 revealed comparable hormone levels across the husbandry procedures before [$F(3,$
378 $14) = 3.035$, NS] and after [$F(3, 14) = 2.292$, NS] the treatments. There was no
379 effect of sex on hormone values [$F(1, 22) = 0.645$, NS], and no interaction effects,
380 i.e. condition and sex [$F(2, 22) = 2.184$, NS], sex and time [$F(1, 22) = 0.000$, NS],
381 condition, sex and time [$F(2, 22) = 0.701$, NS].

382

383 **3.4 Correlating behavioural and physiological data**

384 To ensure the final BS scale reflected increased levels of both behavioural and
385 physiological stress, their relationship in response to the husbandry procedures was

386 investigated. A significant correlation existed between median BS and peak salivary
387 cortisol concentration measured during the husbandry procedures ($r_s = 0.54$, $P =$
388 0.02 , $n = 18$) confirming that the final BS scale was a reflection of both behavioural
389 and physiological stress.

390

391 **4.0 Discussion**

392 In this study a scale of BS has been developed to measure stress levels in stabled
393 domestic horses for the purposes of welfare assessment. To do this, behaviour and
394 physiology were each measured by two different techniques in a range of horses
395 undergoing standard husbandry procedures. The physiological data were used to
396 underpin behavioural measures. Physiological data revealed that husbandry
397 procedures did significantly elevate HR. HR was greater for mares than geldings for
398 the clipping procedure but overall patterns of change in HR before and after
399 procedures was the same for both sexes. This shows that the husbandry procedures
400 used here were perceived as stressful by the horses, and provides a biological
401 validation of these procedures as stressful events. These data also demonstrate the
402 sensitivity of HR as a reliable physiological measure of stress.

403

404 Similarly, cortisol measures demonstrated that the husbandry procedures had a
405 significant effect on stress physiology. Importantly these data also showed that
406 location (source of horses), sex and other factors did not affect cortisol measures.
407 Again, this provides biological validation of these procedures as stressful events and
408 demonstrates that salivary cortisol is a sensitive, reliable measure of stress. These
409 two physiological measures can, therefore, be considered reliable to use to underpin
410 the development of the scale of behavioural scores. Schmidt et al. (2010) recently
411 also established use of HR and salivary cortisol together as sensitive parameters to
412 detect stress in 'routine' transport procedures for horses.

413

414 Behavioural data (percentage durations) from each horse during the husbandry
415 procedures was combined with percentage change in cortisol from baseline to peak
416 in PCA analysis. The three components revealed by the PCA were combined with
417 the expert panel descriptions to derive the scale of behavioural indicators of stress
418 that make up the behavioural stress score this work has developed. To ensure the
419 final scale of BS reflected increasing levels of behavioural and physiological stress,
420 the relationship between behavioural and physiological changes in response to the
421 husbandry procedures was investigated. Measures of salivary cortisol concentration
422 following the routine husbandry procedures, and the median BS calculated for the
423 same animal were seen to correlate. In doing this we have successfully combined
424 two different behavioural approaches, underpinning them with two well-established
425 physiological measures.

426

427 Whilst other studies have used BS these are often relatively simple measures of
428 behaviours. For example Minka et al. (2009) use a number of 'negative' behaviours
429 as a measure of stress, and Maria et al. (2004a) use a greater complexity of
430 behaviours as an indication of less stress. In addition, these may involve a degree of
431 subjectivity in their use (Minka et al., 2009; Munsters et al., 2011) or require
432 sophisticated analysis to assess (Maria et al. 2004a). The BS indicators in our scale
433 developed here are straightforward and do not require great experience of horses to
434 be easily used. In addition, the behavioural descriptions provide a simple scale to
435 enable a range of levels of stress to be measured. Of the small number of behaviour
436 scoring approaches to welfare assessment published, only the Cat Stress Score
437 (McCune, 1994; Kessler and Turner, 1997) provides a sliding scale of stress
438 responses that can be used flexibly to assess welfare in different environments, as
439 used by McCobb et al. (2005) and Dybdall et al. (2007). This latter scale however,
440 has not been physiologically validated, and McCobb et al. (2005) were not able in
441 their study to correlate BS with urinary cortisol measures in cats housed in traditional

442 or enriched shelter environments. We believe our comprehensive approach to
443 combining behaviour and physiology has resulted in a non-invasive, user-friendly,
444 physiologically validated, behavioural stress score that can be used in a variety of
445 environments to measure stress and assess welfare in domestic horses.

446

447 The work undertaken in developing this behavioural stress score also sheds
448 interesting light on the role of stereotypies in assessing stress in horses. Abnormal
449 or stereotypic behaviour was included in all components extracted by the PCA. 'No
450 stress' horses showed an association with repetitive oral behaviour such as crib-
451 biting, low stress horses exhibited weaving, and both low and medium stress horses
452 carried out repetitive head movements such as head shaking or nodding. It has been
453 suggested that performance of stereotypic behaviour may serve as a way of reducing
454 stress levels, or as a way of horses' providing themselves with some sort of control
455 over their environment (Cooper and Albentosa, 2005). This may explain the fact that
456 horses perceived as experiencing no or low stress were exhibiting stereotypies.

457 Weaving, which is indicative of chronic frustration in horses usually associated with
458 attempts to gain social contact with other horses (Visser et al., 2008), was evident in
459 medium stressed horses. This together with repetitive head movements suggests an
460 increased level of frustration experienced by the horses in the low and medium stress
461 groups. These findings suggest that stress assessment that takes a comprehensive
462 view of all behaviour exhibited, rather than a focus on 'negative' behaviours,
463 including stereotypies, is desirable. The behavioural stress score developed here
464 now makes this possible for domestic horses.

465

466 **5.0 Conclusion**

467 The scale of behavioural indicators of stress developed in this study provides a quick
468 and easy, reliable method of measuring the stress levels of domestic stabled horses
469 to assess their welfare. It was developed using both behavioural and physiological

470 measures, so the final behavioural scores that make up the scale also provide
471 reliable indices of physiological change in response to stress. The relationship
472 between behavioural and physiological changes inferred in the scale was further
473 confirmed by the correlation seen between change in salivary cortisol and the same
474 horse's behavioural score. This, therefore, reduces the need to measure various
475 physiological parameters separately to validate the use of the scale and so makes it
476 a valuable, cost-effective tool that could be used by horse owners and behavioural
477 scientists alike.

478

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488

489 **7.0 References**

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655

656 **Table and figure captions.**

657

658 Table 1. Details of the subjects used in the study (N=32).

659

660 Table 2. The ethogram used for The Observer configuration.

661

662 Table 3. Pattern matrix for PCA of the cortisol and duration of state behaviour
663 recorded during the four different routine husbandry procedures using oblimin
664 rotation of a three factor solution.

665

666 Table 4. A scale of behavioural indicators of stress in domestic stabled horses, as
667 revealed by principal component analysis (PCA) and behavioural assessment
668 completed by a professional panel.

669

670

671 Figure 1. Mean heart rate (bpm) \pm 1.0 SE before (Baseline) and during the
672 husbandry procedures (N=18).

673

674 Figure 2. Mean salivary cortisol (ng/ml) \pm 1.0 SE before the husbandry procedures
675 (Baseline) and at peak following the procedure (N=19).

676