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1 **Synthesis, characterization and emulsification properties of dodecyl succinic**
2 **anhydride derivatives of Gum Arabic**

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25

26 **Abstract**

27

28 Gum Arabic, GA, has been chemically modified using dodecenyl succinic anhydride
29 (DDSA) in aqueous solution and the products have been characterized and their
30 solution and emulsification properties evaluated. FTIR was used to confirm that
31 derivatisation had occurred and the hydrophobe incorporation and reaction efficiency
32 was determined by a simple titration method. The derivatives, DGA5 and DGA10 were
33 found to contain 5 and 10 %w/w DDSA respectively. Surface tension and dye
34 solubilisation measurements demonstrated that the modified GA samples aggregated
35 in solution at a specific concentration, referred to as the critical aggregation
36 concentration, CAC. The value of the CAC was found to be a function of the degree of
37 substitution and values of 0.1% and 0.04% were obtained for DGA5 and DGA10
38 respectively. The emulsification properties of the polymers were assessed by
39 determination of the droplet size as a function of polymer concentration and time using
40 laser diffraction. The droplet size decreased for all samples as the polymer
41 concentration increased but the minimum droplet size obtained increased in the order
42 DGA10 < DGA5 < GA indicating enhanced emulsification efficiency with increasing
43 hydrophobe content. The effect of ageing on emulsion stability was assessed and the
44 droplet size of emulsions prepared with GA and DGA5 increased with time but
45 remained constant for samples prepared with DGA10. Emulsion creaming was
46 followed using the Turbiscan and it was noted that DGA5 and DGA10 were much more
47 effective than GA at reducing creaming. The results have demonstrated that the
48 modified gum Arabic samples have potential application for microencapsulation.

49

50 **Keywords:**

51 Dodecenyl Succinic Anhydride derivatives, Gum Arabic, Emulsification properties,
52 droplet size, creaming

53

54 **Introduction**

55

56 Gum Arabic, GA, is a complex polysaccharide obtained as an exudate from the trunks
57 and branches of certain Acacia trees, namely, *Acacia senegal* and *Acacia seyal* which
58 grow in various countries across the Sahelian belt of Africa. (Williams and Phillips,
59 2009; Kennedy, Phillips and Williams, 2012). It consists of galactose, arabinose,
60 rhamnose and glucuronic acid together with a small amount of proteinaceous material
61 which is present as an integral part of the structure. It is now generally recognised that
62 the gum consists of three main fractions, commonly referred to as the arabinogalactan
63 (AG), arabinogalactan-protein (AGP) and glycoprotein (GP) components, which differ
64 mainly in molecular mass and protein content (Randall et al 1988, 1989; Osman et al
65 1994). The AG fraction represents about 90% of the gum and contains very little
66 associated protein. It has a molecular mass of ~300kDa. Experiments using
67 transmission electron microscopy, atomic force microscopy and small angle neutron
68 scattering have shown that it consists of disk-like molecules with a diameter of ~20nm
69 and thickness of ~ 2nm (Renard et al, 2006; Sanchez et al, 2008). The AGP fraction
70 accounts for ~10% of the gum and has a molecular mass of ~1500kDa. It contains
71 ~10% protein as an integral part of its structure and enzyme and alkali hydrolysis
72 studies have shown that it has a 'wattle blossom' type structure, typical of AGPs
73 generally. It is believed to consist of carbohydrate blocks of $M_w \sim 4 \times 10^4$ attached to a
74 polypeptide chain consisting of ~250 amino acids through O-serine and
75 O-hydroxyproline residues together with short chains of arabinose linked to
76 hydroxyproline (Mahendran et al, 2008). The carbohydrate blocks may be disk-like as
77 is proposed by Sanchez et al (2008) for the AG fraction. The GP fraction represents
78 about 1% of the total mass of the gum and contains ~20-50% protein. Little is known
79 about its structure.

80

81 GA is widely used in the Beverage Industry as an emulsifier for the stabilisation of
82 flavour oil-in-water emulsions and it is commonly accepted that its ability to emulsify is
83 due to the presence of proteinaceous components within the AGP and GP fractions

84 (Randall et al 1988, 1989; Padala et al 2009). These provide amphiphilic
85 characteristics which facilitate adsorption of the molecules onto the surface of the oil
86 droplets. The AG fraction, which represents the bulk of the gum, is not involved in the
87 emulsification process and it is for this reason that concentrations as high as 15% gum
88 Arabic are required to produce a stable 20% oil-in-water emulsions (Randall et al 1988,
89 1989). There has been recent interest in chemically modifying the gum to enhance its
90 emulsification efficiency and this has involved esterification using alkane- or alkene-
91 substituted dicarboxylic acid anhydrides and in particular octenyl succinic anhydride
92 (Ward, 2002a, 2002b). In addition Sarkar, et al (2011, 2013) recently produced an
93 octenyl succinylated GA derivative for the encapsulation of mint oil and found that the
94 modified gum had improved performance compared to the original GA.

95

96 It is expected that the emulsification and encapsulation properties of the
97 hydrophobically modified GA will be a function of both the concentration and length of
98 the of alkyl chains although there are no reports on this in the literature. The aim of the
99 present work, therefore, is to synthesise GA derivatives using different concentrations
100 of dodecenyl succinic anhydride and to investigate their ability to stabilise oil-in-water
101 emulsions.

102

103

104 **Materials and methods**

105 **Materials**

106 A kibbled GA sample (*Acacia senegal*) was obtained from the Gum Arabic Company,
107 Sudan. (2-Dodecen-1-yl) succinic anhydride (DDSA), spectroscopic pure potassium
108 bromide, petroleum ether, sodium hydroxide and hydrochloric acid were obtained from
109 Fisher Chemicals UK. Sudan IV, dye content 80%, was obtained from Eastman Fine
110 Chemicals. Medium-chain triglycerides, (MCT), were obtained from the Trec Nutrition
111 Company and consisted of octanoic/decanoic acid triglycerides. Deionized water
112 (Purite Select Fusion 40 Purite Pure Water System) was used throughout.

113

114 Methods

115 *Molecular mass distribution of gum Arabic*

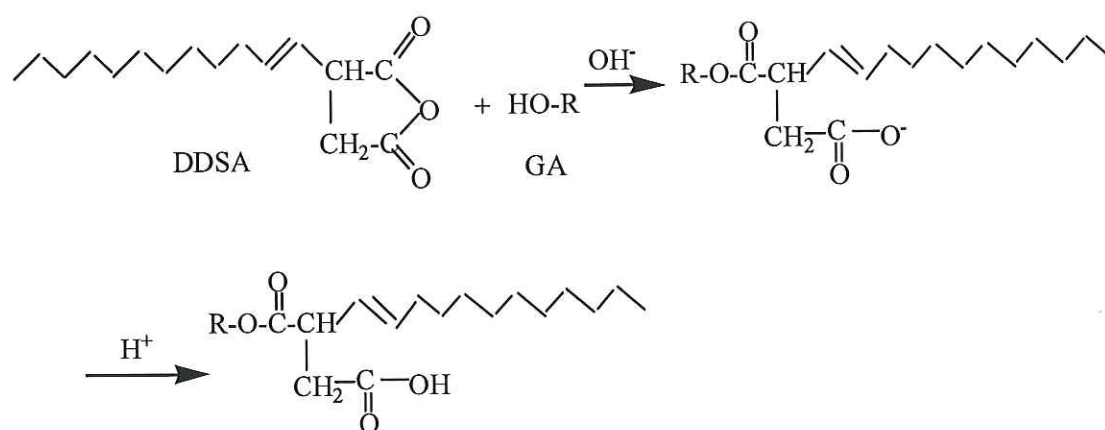
116 The molecular mass distribution of the GA was determined by Gel permeation
117 Chromatography (GPC) using 0.1M NaNO₃ as eluent. The system consisted of a
118 Waters (Division of Millipore, USA) Solvent Delivery System Model 6000A connected
119 to a Suprema column 3000A 10 μ m 8*300mm. A manual Rheodyne Model 7125
120 syringe loading sample injector equipped with 100 μ l sample loop was used. The
121 column effluent was monitored using a DAWN DSP laser light scattering photometer
122 equipped with a He-Ne laser with a wavelength of 633 nm (Wyatt Technology
123 Corporation, USA), and a Wyatt Optilab DSP interferometric refractometer (Wyatt
124 Technology Corporation, USA). A value of 0.141 ml/g was used for the refractive index
125 increment, dn/dc.

126

127 *Synthesis of dodecyl succinic anhydride (DDSA) gum Arabic derivatives*

128 The DDSA derivatives of GA were prepared using dodecyl succinic anhydride. The
129 reaction proceeds according to the following scheme:

130



131

132 15g of GA was dispersed in 40ml of deionised water contained in a 250ml multi-necked
133 flask using a stirrer (Heidolph Type: ST1 or RZR 50) with PTFE centrifugal shaft, 6mm
134 diameter, 400mm. The pH was adjusted to between 8.30 \pm 0.1 using 1% NaOH solution
135 using a peristaltic pump (P-1 Pharmacia Fine Chemicals). At the same time 30ml
136 ethanol were added. Two reactions were performed using 1.5g and 3.2g DDSA

137 respectively which were dissolved in 15 ml of ethanol and added at 25°C. The reaction
138 was left to continue for 7h when the pH became stable. Once the reaction was finished,
139 the resultant product was neutralized with 5% HCl solution to a pH of 6.0. The product
140 was transferred to a 500ml round-bottom flask and put on a rotary evaporator to
141 remove water and it was then freeze dried yielding a white powder. The powder was
142 purified by Soxhlet extraction for 6h using petroleum ether as solvent. Finally, the
143 sample was dried in an oven at 50°C overnight. The products are referred to as DGA5
144 and DGA10 corresponding to 5 and 10 w % hydrophobe incorporation respectively.

145

146 *Hydrophobe incorporation determined by titration*

147 1g DGA5 and DGA10 was accurately weighed using electrical balance with 0.1mg
148 accuracy and dissolved in 15ml deionized water by stirring for 10 min at room
149 temperature. The acid groups of modified GA were completely ionized by the addition
150 of 0.05M NaOH solution until a pH of 9.00. Then, all the basic groups of GA-DDSA
151 were titrated with 0.05M HCl solution, using a pH-electrode. The procedure was
152 undertaken on an unmodified GA sample as a blank. Titrations were performed in
153 duplicate and the average value taken. The % (w/w) hydrophobe incorporation was
154 calculated as follows:

$$155 \quad HI = \frac{266 \times C \Delta V}{W_{\text{sample}} - C \Delta V * 266} \times 100\% \text{ (\%w/w)}$$

156 where ΔV (l) = titre for 1g sample – titre for 1g blank, the volume used during the
157 titration; C the molarity of HCl solution; 266, molecular weight of DDSA; W_{sample} , the
158 dried sample weight.

159

160 *FTIR spectroscopy*

161 Samples were ground using a mortar and pestle then mixed with dry potassium
162 bromide (1:100) and KBr disks produced. FTIR spectra were obtained using a Perkin
163 Elmer FT-IR Spectrometer Spectrum RXI.

164

165 *Critical aggregation concentration (CAC)*

166 The CAC was determined using the surface tension and dye solubilisation techniques.

167

168 Surface tension measurements

169 The du Noüy ring method was used to determine the surface tension of solutions of GA
170 and its derivatives at different concentrations using Kruss K8 surface tensiometer
171 (Kruss GMBH Germany). Measurements were carried out in triplicate and the average
172 value recorded. The CAC was determined from the inflection in the plot of the surface
173 tension versus concentration.

174 Dye solubilization

175 10mg of Sudan IV dye was added to 10ml of the GA samples at varying concentration
176 in water. The samples were mixed at room temperature overnight and filtered using a
177 Millex-GP 0.22um filter (Millipore Ireland Ltd) into disposable UV grade 10mm path
178 length cuvettes (CXA-110-0053, Fisher Scientific Ltd). The absorbance of the solutions
179 was measured at a wavelength of 510nm using a Perkin Elmer Lambda 25 UV/VIS
180 spectrometer. Measurements were performed in duplicate and the average value taken.
181 The CAC was determined as the point at which the absorbance increased. GA was
182 used as blank in this measure.

183

184 *Emulsification properties*

185 Oil-in-water emulsions (10% v/v) were prepared by adding 2ml MCT to 18ml gum
186 solution at various concentrations and mixing using an IKA Ultraturrax T25 mixer (T25,
187 IKA, Germany) set at 24000 min⁻¹ for 4 min. The droplet size was measured over a
188 period of time by laser diffraction using the Mastersizer 2000. The emulsion was added
189 dropwise using a plastic pipette to the water in the dispersion unit of the instrument
190 until the obscuration was about 12%. The measurements were made at room
191 temperature and were performed in triplicate and the average value determined. The
192 refractive indices of water and MCTs were taken as 1.33 and 1.45, respectively.

193 Emulsion creaming was assessed using the Turbiscan MA 2000. The emulsions
194 (6%w/w GA and its derivatives) were decanted into a glass cylindrical cell and then

195 periodically scanned by the detection head of the device with a pulsed near infrared
196 light source (wavelength 850 nm) from 0 h to 24 h at intervals of 30 min at 30°C. The
197 backscattered profiles along the height of the cell were collected as raw data.

198

199 **3. Results and discussion**

200 *Molecular mass distribution of GA*

201 The refractive index and molecular mass GPC elution profiles of GA is presented in
202 Figure 1 and the weight average, M_w , and number average, M_n , molecular mass
203 values were found to be 620kDa and 300kDa respectively. These values are within the
204 range reported by others for gum Arabic (Idris et al 1998; Al-Assaf et al 2005;
205 Mahendran et al 2008). The main peak, corresponding to the AG fraction is centered at
206 an elution volume of 9.5mL. The small shoulder on this peak, centered at around
207 8.5mL corresponds to the AGP fraction (Mahendran et al 2008).

208

209 *Characterization of dodecyl succinic anhydride (DDSA) modified GA*

210

211 *% hydrophobe incorporation*

212 The amount of hydrophobe incorporated into the GA was determined by titration and
213 found to be 5.8 %w/w and 10.3 %w/w respectively for DGA5 and DGA10. This
214 corresponds to reaction efficiencies of 57.6% and 48.3%.

215

216 *FTIR spectroscopy*

217 Figures 2a and 2b show the FTIR spectra of GA, DGA5 and DGA10 and the FTIR peak
218 assignment is shown in Table 1. It can be seen from the figures that at $1720 - 1740\text{cm}^{-1}$
219 for DGA5 and DGA10, there is a peak attributable to the ester bond ($-\text{COOR}$) which is
220 not present for GA itself. For DGA10, the peak is more obvious than for DGA5 due to
221 the higher degree of substitution.

222

223 *Determination of the CAC*

224 Surface tension measurements

225 The surface tensions of the gum solutions are shown in Figure 3 as a function of
226 concentration. For the GA sample the surface tension decreases with concentration as
227 expected. It is assumed that the decrease is due to the adsorption of the gum Arabic
228 molecules at the air-water interface through the protein moieties present. For the
229 modified samples the surface tension also decreases with concentration but there is a
230 distinct inflection in the curve which we attribute to the formation of micellar-type
231 aggregates brought about by hydrophobic association of the molecules through the
232 alkyl chains. The CAC are 0.1 % and 0.04% for the DGA5 and DGA10 samples
233 respectively.

234

235 Dye solubilization

236 The absorbance values of solutions of gum Arabic and its derivatives in the presence
237 of Sudan IV dye are presented in Fig. 4. The absorbance shows a gradual increase
238 with concentration for GA indicating that the dye is able to bind to specific regions
239 within the GA molecules. Fang et al (2010) have recently shown that fatty acids also
240 able to bind to gum Arabic although the actual binding site is not known. For the DGA5
241 and DGA10 samples there is an abrupt increase in the absorbance at concentrations
242 of 0.1 % and 0.04 % respectively. This increase corresponds to the CAC and the
243 values are in close agreement with the CACs determined by surface tension.

244

245 *Emulsification properties*

246 The droplet size of emulsions prepared using the gum samples are shown in Figures
247 5a and 5b as a function of concentration. It is noted that the droplet size decreases with
248 increasing gum concentration for all of the samples but the final droplet size is smaller
249 in the order DGA10 < DGA5 < GA indicating that the emulsification effect increases
250 with increasing hydrophobe content. Presumably increasing the hydrophobe content
251 facilitates more rapid adsorption onto the droplets as they are created under shear thus
252 reducing droplet aggregation and coalescence.

253 The droplet sizes of the emulsions were determined as a function of time and the
254 results are presented in Fig. 6a and 6b. It is noted that the droplet size increased

255 slightly with time for the GA and DGA5 stabilised emulsions but remained almost
256 constant for the emulsions prepared using DGA10. It is evident that the DGA10,
257 therefore, provides an improved electrosteric barrier preventing droplet flocculation.

258

259 The creaming behavior of the emulsions was followed using the Turbiscan and the
260 backscattering profiles (BS), taken at 30mins intervals over a 24h period are reported
261 in Figures 7a, b, and c for DGA10, DGA5 and GA respectively. The results show that
262 the BS profiles for DGA5 and DGA10 remain constant over a 24h period while for GA it
263 changes significantly confirming that creaming occurs much more rapidly. This result
264 infer that modified GA like DGA5 and DGA10 can stable oil-in-water emulsions, even
265 the oil volume contents reach 10% v/v.

266

267 **Conclusions**

268 This paper demonstrates that hydrophobically modified GA can be readily synthesized
269 in aqueous solutions using dodeceny succinic anhydride, and the products DGA5 and
270 DGA10 have been characterized and their solution and emulsification properties have
271 been evaluated by means of FTIR, surface tension, dye solubilisation and so on. The
272 GA derivatives have been shown to aggregate in solution at a critical concentration
273 which depends on the amount of hydrophobe incorporated and hence could be used to
274 dissolve active compounds for application, for example, in functional foods and
275 nutraceuticals. The derivatives have been shown to have superior emulsification
276 properties to GA and hence have potential application in microencapsulation.

277

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281

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